



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#12 13-11-63 Ch

In re Patent Application of:

Joseph G. GATTO

Serial No.: 09/524,253

Filed: March 13, 2000

For: SECURITY ANALYST ESTIMATES

PERFORMANCE VIEWING SYSTEM AND

METHOD

Docket No.: 23449-009

Confirmation No.: 7026

Group Art Unit: 3624

Examiner: Charles R. Kyle

RECEIVED

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant hereby makes of record in the above-identified application the documents listed on the attached Form PTO-1449.

Applicant reserves the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. No certification or fee is required. 37 C.F.R. 1.97(b).

Serial No. 09/524,253 Docket No.: 23449-009

An electronic Information Disclosure Statement is being filed electronically on even date.

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449.

Respectfully submitted,

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